

# Coreset Sampling from Open-Set for Fine-Grained Self-Supervised Learning

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The IEEE/CVF Conference on Computer Vision and Pattern Recognition 2023

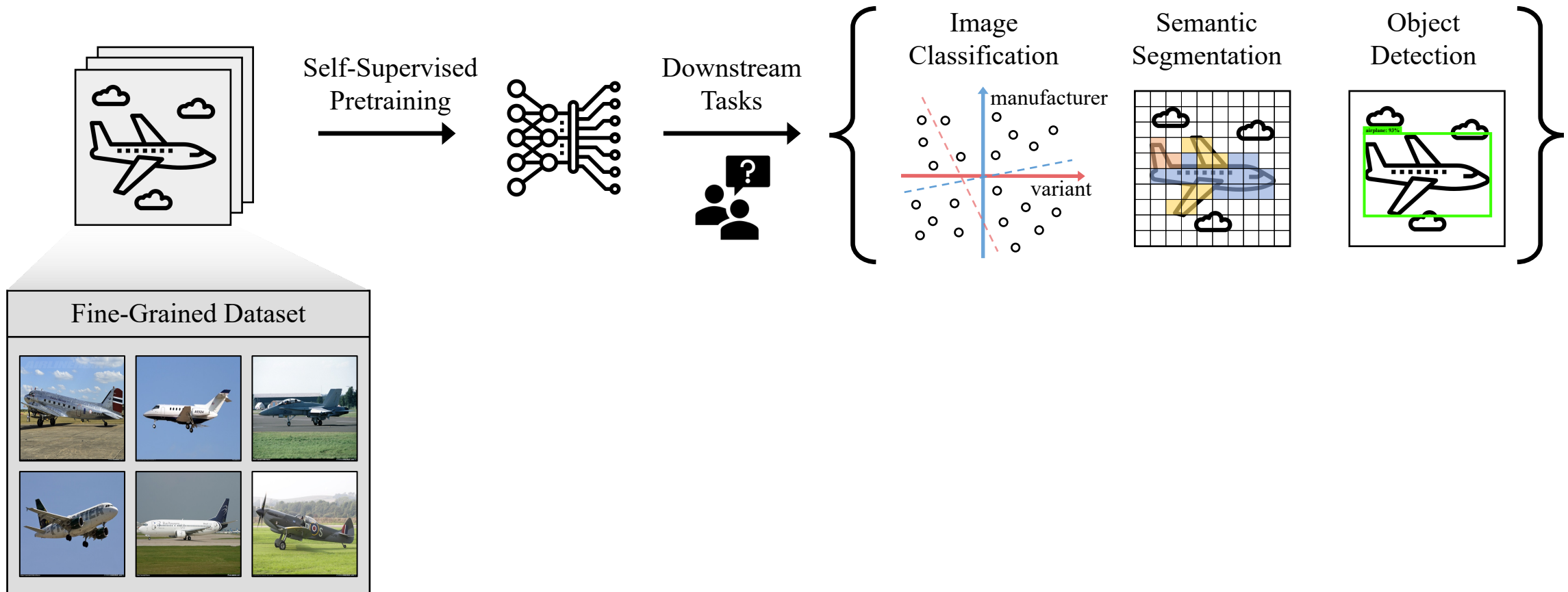
Sungnyun Kim\*, Sangmin Bae\*, Se-Young Yun

(\*: equal contribution)

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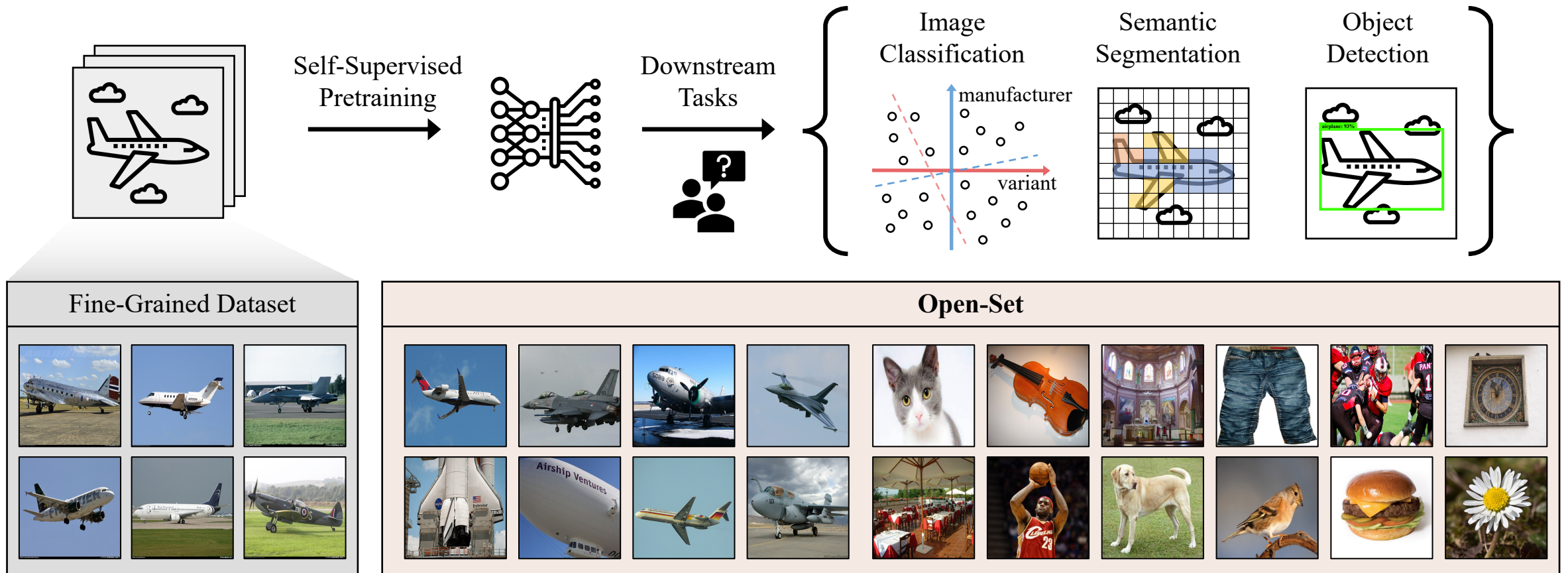
# Highlights of the Paper

**Self-Supervised Learning (SSL)** is a promising approach for fine-grained tasks.



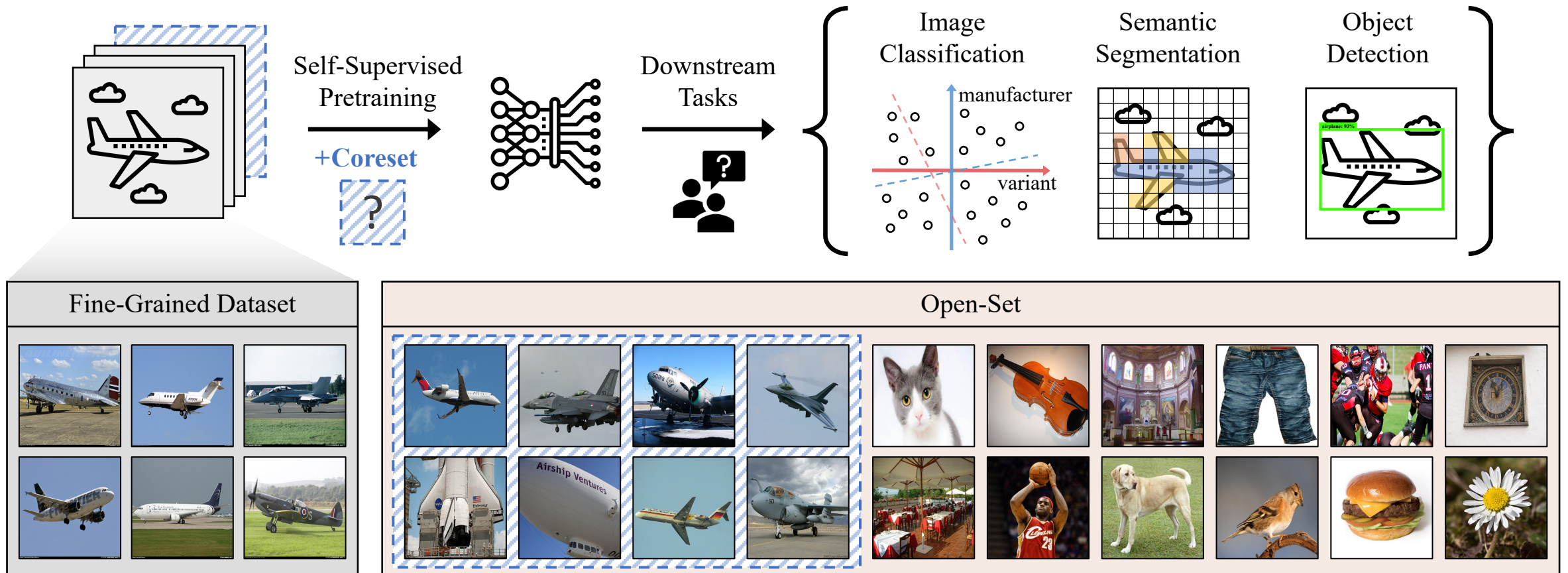
# Highlights of the Paper

We can assume an **Open-Set** to build a versatile model.



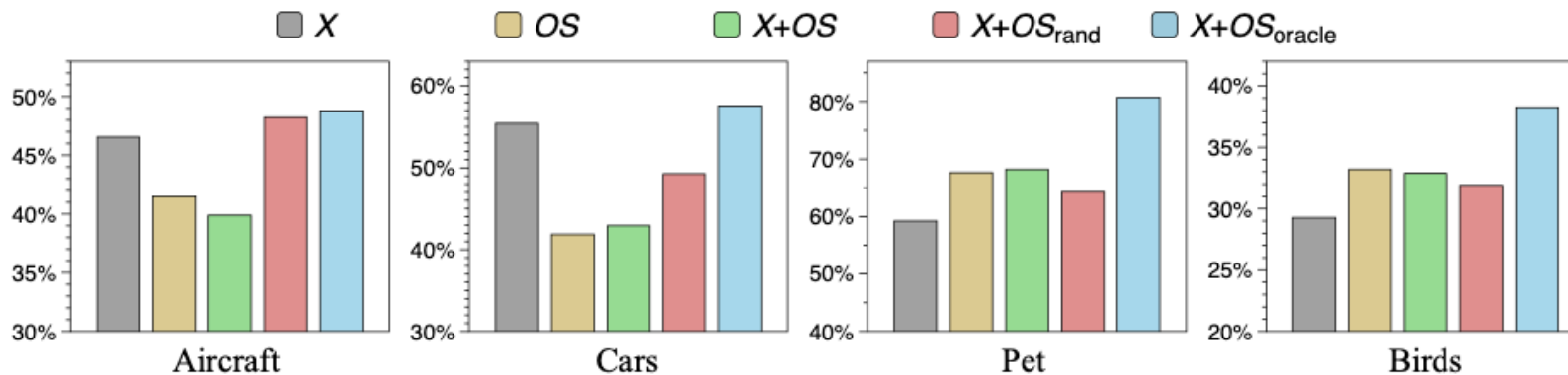
# Highlights of the Paper

We propose a novel and simple coreset sampling algorithm, **SimCore**.



# Motivating Experiments

Addressing distribution mismatch between fine-grained dataset and open-set is a critical issue.



Target ( $X$ )	Classes for $OS_{oracle}$ (#)
Aircraft	airliner, warplane, ... (8)
Cars	convertible, jeep, ... (10)
Pet	Persian cat, beagle, ... (24)
Birds	goldfinch, junco, ... (20)

1. SSL on the **open-set** ( $OS$ ) does not always outperform SSL on **target dataset** ( $X$ ).
2. Selecting **relevant class samples** ( $OS_{oracle}$ ) show the significant performance gains.

# Problem Setting

We first propose a realistic **OpenSSL task**, where sampling the coreset is important on SSL performance.

Task [ref.]	Problem Setting	Train (Labeled)	Train (Unlabeled)	Test	Definition of OS / CS	Main Goal
Novel Class Discovery [26, 30, 81]	test data consist of only novel classes	seen	-	novel	-	cluster novel classes in test dataset
Open-Set Recognition [5, 12, 57, 68]	test set contains seen and novel classes	seen	-	seen + novel	[OS] test dataset containing seen and novel classes	reject instances from novel classes at test time
Webly Sup. [15, 39, 62]	train data contains web-crawled noisy samples	partially noisy	-	seen	[OS] web-crawled train dataset containing noisy samples	robustly train instances with corrupted labels
Open-Set Semi-Sup. [17, 33, 51, 56, 61]	unlabeled train data contain novel classes	seen	seen + novel	seen	[OS] training dataset containing seen and novel classes	train a robust model while regularizing novel classes
Open-World Semi-Sup. [4, 8, 9]	train and test data contain novel classes	seen	seen + novel	seen + novel	[OS] dataset containing seen and novel classes	discover novel classes and assign samples at test time
Open-Set Annotation [50]	unlabeled data pool contains novel classes	seen	seen* + novel*	seen	[OS] unlabeled data pool with seen and novel classes	aim to query seen classes from unlabeled data pool
Coreset Selection in AL [58, 72]	query instances to be annotated	seen	seen*	seen	[CS] the most representative subset of unlabeled set	find a small subset competitive to whole dataset
Coreset Selection in CL [1, 65, 78]	continuously learn a sequence of tasks	partially novel	-	seen	[CS] the most representative instances at each task	promote task adaptation with less catastrophic forgetting
Hard Negative Mining in Self-Sup. [55, 70]	assume that hard negatives are helpful	-	target	target	[CS] the hardest contrastive pair instances for SSL	improve SSL performance using core-negative instances
<b>Open-Set Self-Sup. [ours]</b>	utilize open-set in pretraining, which may have irrelevant data	-	target + irrelevant	target	[OS] large-scale unlabeled set [CS] subset of OS sharing the same semantics with target set	improve SSL performance on fine-grained dataset via coreset sampling method

# Method: SimCore Algorithm

We introduce a **simple coreset** sampling algorithm, coined as **SimCore**.

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**Algorithm 1:** Simple coreset sampling from open-set

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- 1 **Require:**  $E_\theta$ : encoder pretrained on  $X$ ;
- 2 **Require:**  $\mathcal{U}_0$ : initial candidate set (open-set);
- 3 **Require:**  $\mathcal{B}, \tau$ : coreset budget, threshold;
- 4 initialize  $\mathcal{I} \leftarrow \emptyset, t \leftarrow 0$ ;
- 5 replace  $\hat{X} \leftarrow$  cluster centroids of  $X$ ;
- 6 calculate  $z_x, z_u \leftarrow E_\theta(x), E_\theta(u)$  for  $\forall x, u \in \hat{X} \times \mathcal{U}_0$ ;
- 7 **while**  $|\mathcal{I}| < \mathcal{B}$  **do**
- 8 set  $\mathcal{S}_t^*$  as the elements in  $\mathcal{U}_t$  that are closest to  
     each element in  $\hat{X}$  (Eq. 2);
- 9  $\mathcal{I} \leftarrow \mathcal{I} \cup \mathcal{S}_t^*, \mathcal{U}_{t+1} \leftarrow \mathcal{U}_t \setminus \mathcal{S}_t^*$
- 10  $t \leftarrow t + 1$
- 11 *//stopping criterion*
- 12 **if**  $\hat{f}(\mathcal{S}_t^*) < \tau \cdot \hat{f}(\mathcal{S}_1^*)$  **then**
- 13 *stop sampling;*
- 14 **end**
- 15 **end**
- 16 re-initialize  $\theta$  and pretrain  $E_\theta$  with  $X \cup \mathcal{I}$ ;

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→ Retrieval model



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---

→ Finding a subset  $S$  that maximizes Eq. 2:

$$f(\mathcal{S}) = \sum_{x \in X} \max_{u \in \mathcal{S}} w(x, u), \text{ where } \mathcal{S} \subseteq \mathcal{U}, \mathcal{U} \cap X = \emptyset$$

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→ Finding a subset  $\mathcal{S}^*$  that maximizes Eq. 2:

$$\hat{f}(\mathcal{S}) = \sum_{x \in \hat{X}} \max_{u \in \mathcal{S}} w(x, u), \text{ where } \mathcal{S} \subseteq \mathcal{U}, \mathcal{U} \cap X = \emptyset$$

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```

→ Iterative coreset sampling

# Linear Evaluation Performance

For 11 fine-grained datasets, open-set does not always improve the performance.

		Target dataset ( $X$ ) and its number of samples										
pretrain	$p$	Aircraft 6,667	Cars 8,144	Pet 3,680	Birds 5,990	Dogs 12,000	Flowers 2,040	Action 4,000	Indoor 5,360	Textures 3,760	Faces 4,263	Food 13,296
$X$	-	46.56	55.42	59.23	29.27	49.88	80.14	43.76	54.10	58.78	56.63	87.99
$OS$	-	41.50	41.86	67.66	33.21	49.94	85.67	60.65	64.46	67.23	52.84	86.14
$X+OS$	-	39.88	42.92	68.22	32.88	50.42	85.34	60.61	63.66	67.98	52.76	85.90

# Linear Evaluation Performance

SimCore with certain sampling ratios has showed the performance gains.

		Target dataset ( $X$ ) and its number of samples										
pretrain	$p$	Aircraft 6,667	Cars 8,144	Pet 3,680	Birds 5,990	Dogs 12,000	Flowers 2,040	Action 4,000	Indoor 5,360	Textures 3,760	Faces 4,263	Food 13,296
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$X+OS$	-	39.88	42.92	68.22	32.88	50.42	85.34	60.61	63.66	67.98	52.76	85.90
$X+OS_{\text{rand}}$	1%	48.24	49.26	64.27	31.90	49.62	83.17	47.25	55.37	61.33	57.37	88.08
$X+OS_{\text{SimCore}^\dagger}$	1%	48.06	58.56	74.82	33.37	57.42	82.12	51.37	57.84	61.76	56.95	90.35
$X+OS_{\text{SimCore}}$	1%	<b>48.45</b>	<u>59.00</u>	77.13	36.56	59.83	86.70	52.98	59.18	63.40	58.85	89.78
$X+OS_{\text{rand}}$	5%	45.75	46.03	68.38	33.63	50.24	84.52	57.27	60.71	65.80	56.05	87.75
$X+OS_{\text{SimCore}^\dagger}$	5%	45.57	50.75	<u>80.20</u>	35.56	64.62	85.11	64.53	68.13	66.22	58.93	89.87
$X+OS_{\text{SimCore}}$	5%	47.14	52.22	<b>81.75</b>	<b>39.21</b>	<b>66.82</b>	<b>87.28</b>	<u>66.38</u>	<u>70.96</u>	<b>68.13</b>	<b>59.34</b>	<u>90.74</u>

# Linear Evaluation Performance

With a stopping criterion, SimCore automatically sample the enough amount of coreset.

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pretrain	$p$	Aircraft 6,667	Cars 8,144	Pet 3,680	Birds 5,990	Dogs 12,000	Flowers 2,040	Action 4,000	Indoor 5,360	Textures 3,760	Faces 4,263	Food 13,296
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<i>Stopping Criterion</i>		1.03%	0.95%	14.4%	13.7%	9.72%	7.96%	15.6%	13.5%	5.89%	0.27%	3.86%
$X+OS_{\text{SimCore}}$	-	<u>48.27</u>	<b>60.29</b>	79.66	<u>37.65</u>	<u>66.48</u>	<u>87.04</u>	<b>67.46</b>	<b>71.95</b>	<u>67.66</u>	<u>59.01</u>	<b>91.31</b>

# Robustness of SimCore

SimCore is robust to various architectures and SSL methods.

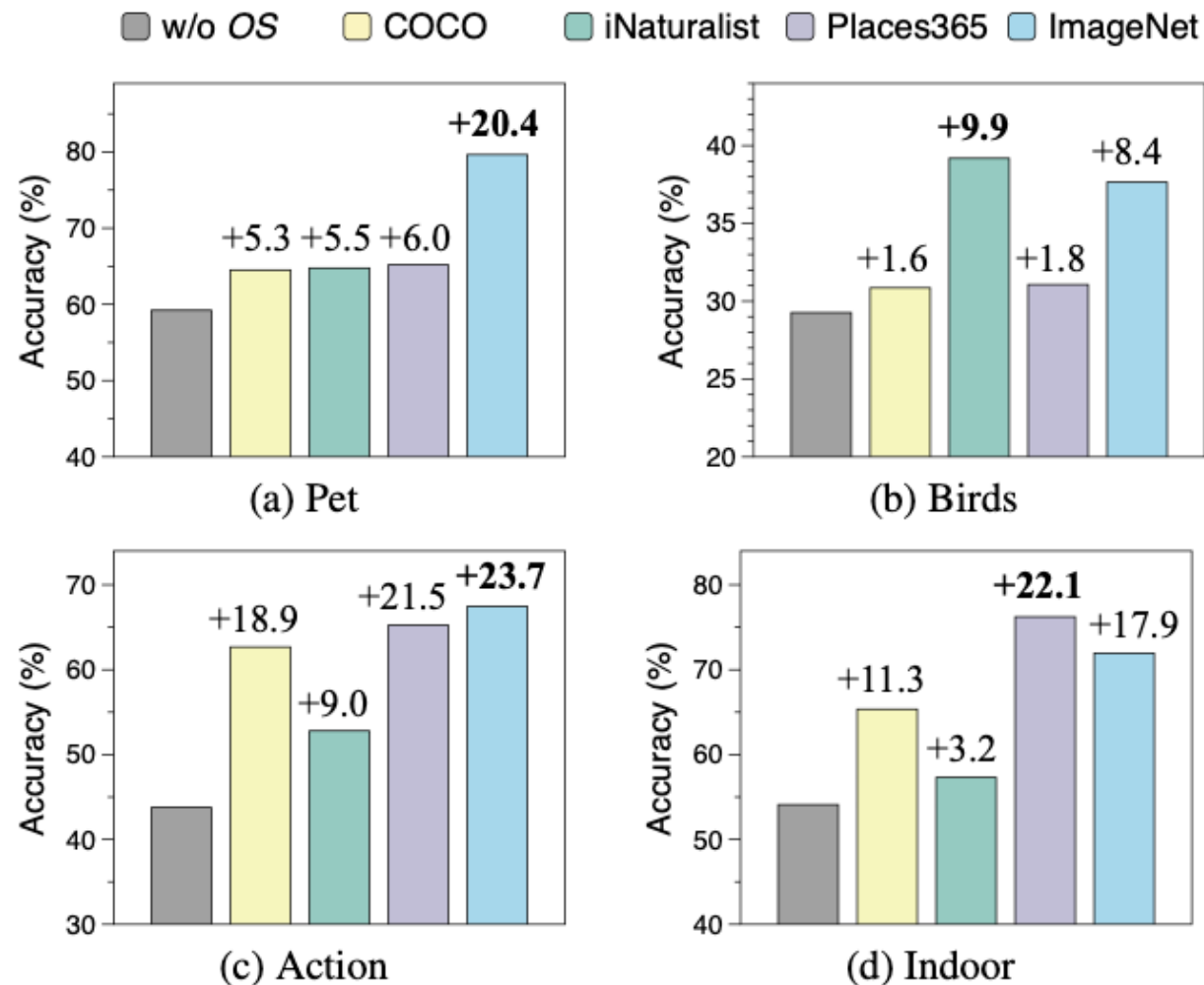
method	architecture	pretrain	Aircraft	Cars	Pet	Birds
SimCLR	EfficientNet	<i>X</i>	25.5	37.0	58.1	27.8
SimCLR	EfficientNet	<i>OS</i>	31.6	29.5	57.8	26.5
SimCLR	EfficientNet	<b>SimCore</b>	<b>41.7</b>	<b>52.8</b>	<b>69.5</b>	<b>29.6</b>
SimCLR	ResNet18	<i>X</i>	43.4	51.9	58.2	25.9
SimCLR	ResNet18	<i>OS</i>	33.9	33.1	62.5	27.7
SimCLR	ResNet18	<b>SimCore</b>	<b>44.5</b>	<b>55.1</b>	<b>72.7</b>	<b>31.3</b>
SimCLR	ResNeXt50	<i>X</i>	45.9	56.5	63.4	28.6
SimCLR	ResNeXt50	<i>OS</i>	39.2	39.4	68.2	32.6
SimCLR	ResNeXt50	<b>SimCore</b>	<b>49.5</b>	<b>59.5</b>	<b>81.0</b>	<b>37.4</b>
SimCLR	ResNet101	<i>X</i>	49.4	54.5	64.0	29.1
SimCLR	ResNet101	<i>OS</i>	40.4	41.9	69.5	34.2
SimCLR	ResNet101	<b>SimCore</b>	<b>50.9</b>	<b>58.8</b>	<b>83.0</b>	<b>39.1</b>

method	architecture	pretrain	Aircraft	Cars	Pet	Birds
BYOL	ResNet50	<i>X</i>	40.6	49.4	56.5	27.6
BYOL	ResNet50	<i>OS</i>	46.1	49.6	78.4	44.7
BYOL	ResNet50	<b>SimCore</b>	<b>46.5</b>	<b>50.4</b>	<b>85.1</b>	<b>47.9</b>
SwAV	ResNet50	<i>X</i>	34.5	42.4	49.4	21.6
SwAV	ResNet50	<i>OS</i>	33.8	30.0	64.2	27.3
SwAV	ResNet50	<b>SimCore</b>	<b>45.0</b>	<b>45.1</b>	<b>80.2</b>	<b>36.6</b>
DINO	ViT-Ti/16	<i>X</i>	27.3	<b>48.2</b>	42.4	28.5
DINO	ViT-Ti/16	<i>OS</i>	42.0	39.1	78.4	61.2
DINO	ViT-Ti/16	<b>SimCore</b>	<b>43.2</b>	47.2	<b>83.3</b>	<b>72.6</b>
MAE	ViT-B/16	<i>X</i>	<b>55.9</b>	44.7	56.3	32.2
MAE	ViT-B/16	<i>OS</i>	39.8	37.3	68.3	31.4
MAE	ViT-B/16	<b>SimCore</b>	48.1	<b>52.4</b>	<b>77.8</b>	<b>42.1</b>



# Various Open-Sets

With curated open-sets, SimCore can sample relevant coresets.



# Qualitative Evaluation

We visualized selected samples of Places365 (top) and iNaturalist coreset (bottom).



Vets office



Lawn



Art studio



Sky



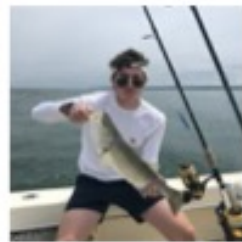
Cemetery



Field wild



*Kinosternon  
Flavescens*



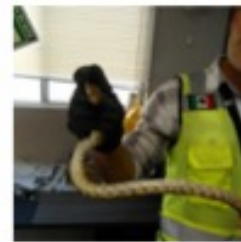
*Morone  
Saxatilis*



*Lema  
Daturaphila*



*Ortalis  
Wagleri*



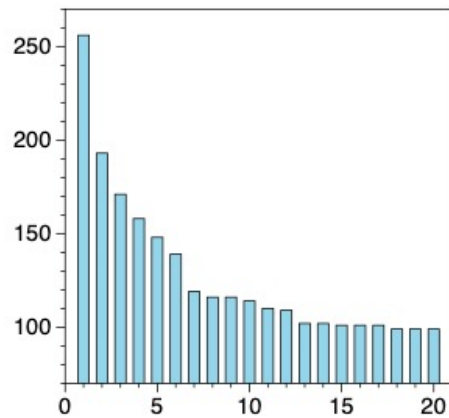
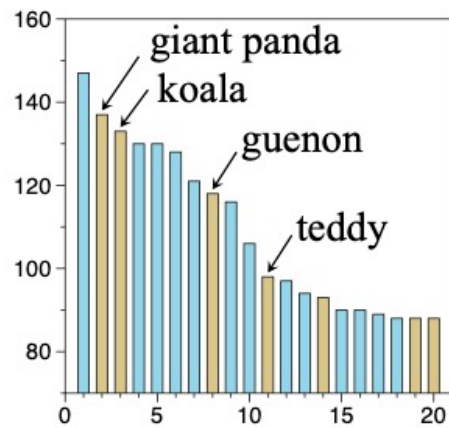
*Pituophis  
Deppei*



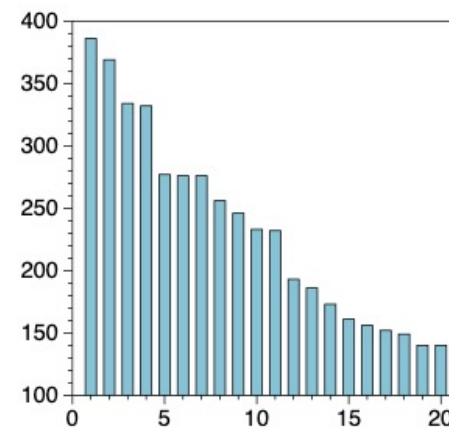
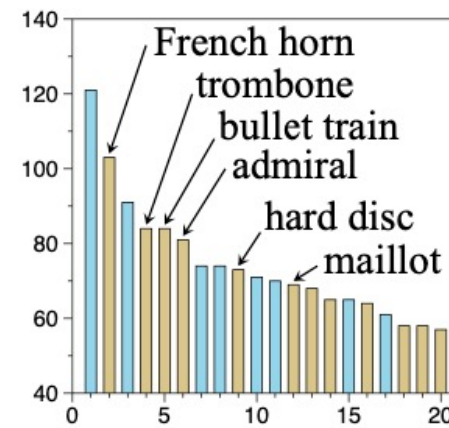
*Oenanthe  
Familiaris*

# Qualitative Evaluation

SimCore with larger  $k$  centroids could sample the similar classes samples.



(a)  $OS_{SimCore}$  with  $X = \text{Pet}$  and  $\{k = 1 \text{ (top)}, k = 100 \text{ (bottom)}\}$



(b)  $OS_{SimCore}$  with  $X = \text{Birds}$  and  $\{k = 1 \text{ (top)}, k = 100 \text{ (bottom)}\}$

# Downstream Tasks

SimCore outperforms baselines on various downstream tasks.

	Aircraft		Cars		Pet		Birds	
	20	200	20	200	20	200	20	200
pretrain								
<i>X</i>	36.1	36.7	33.1	34.3	52.0	51.8	<b>20.7</b>	<b>21.8</b>
<i>OS</i>	19.3	17.7	11.4	10.9	50.4	49.0	13.9	15.1
<b>SimCore</b>	<b>40.7</b>	<b>41.4</b>	<b>33.8</b>	<b>34.6</b>	<b>61.4</b>	<b>61.4</b>	18.3	19.2

(a) kNN classification

	Aircraft			Cars			Pet			Birds		
	10%	20%	50%	10%	20%	50%	10%	20%	50%	10%	20%	50%
pretrain												
<i>X</i>	29.0	47.6	64.6	25.1	53.5	80.2	47.2	58.7	71.4	<b>13.3</b>	25.2	51.2
<i>OS</i>	19.6	34.1	43.9	10.8	35.7	74.1	35.7	62.3	76.9	10.1	21.0	51.2
<b>SimCore</b>	<b>33.9</b>	<b>51.3</b>	<b>65.6</b>	<b>25.4</b>	<b>55.3</b>	<b>81.6</b>	<b>50.9</b>	<b>70.4</b>	<b>79.7</b>	11.9	<b>25.5</b>	<b>55.7</b>

(b) Semi-supervised learning

	Aircraft		Cars		Pet		Birds	
	mAP	mAP <sub>50</sub>	mAP	mAP <sub>50</sub>	IoU <sub>fg</sub>	IoU <sub>bg</sub>	IoU <sub>fg</sub>	IoU <sub>bg</sub>
pretrain								
<i>X</i>	10.8	12.7	34.7	40.0	79.1	82.0	65.3	92.6
<i>OS</i>	23.7	27.0	20.8	23.6	79.8	82.8	67.9	93.3
<b>SimCore</b>	<b>29.6</b>	<b>36.8</b>	<b>37.6</b>	<b>43.2</b>	<b>80.0</b>	<b>83.1</b>	<b>68.4</b>	<b>93.4</b>

(c) Object detection and pixel-wise segmentation

	Aircraft		Cars		Faces			
	mfr.	family	brand	type	pointy	oval	young	smiling
pretrain								
<i>X</i>	21.1	40.4	67.3	78.0	66.8	83.4	93.1	93.4
<i>OS</i>	17.9	35.2	49.3	61.3	64.9	81.9	92.9	86.3
<b>SimCore</b>	<b>21.9</b>	<b>41.9</b>	<b>70.7</b>	<b>80.1</b>	<b>67.5</b>	<b>83.9</b>	<b>93.6</b>	<b>93.7</b>

(d) Multi-attribute classification

# Comparisons to Open-Set Framework

We have compared our OpenSSL framework to two different frameworks, which utilize unlabeled or noisy-labeled open-sets.

	framework: <i>Open-Set Semi-Sup.</i>				framework: <i>Webly Sup.</i>			
pretrain	method	Aircraft	Cars	Birds	method	Aircraft	Cars	Birds
SimCore	FT (50%)	73.5	80.1	57.4	FT (100%)	84.3	<b>89.3</b>	70.6
<b>X</b>	SelfTrain	51.9	55.5	35.7	CoTeach	79.3	51.7	70.4
SimCore	SelfTrain	<b>78.1</b>	<b>81.3</b>	<b>59.1</b>	CoTeach	<b>89.8</b>	57.0	<b>78.9</b>
<b>X</b>	OpenMatch	70.1	70.2	52.3	DivideMix	82.2	54.4	74.5
SimCore	OpenMatch	<b>83.5</b>	<b>89.5</b>	<b>66.4</b>	DivideMix	<b>86.5</b>	56.5	<b>80.0</b>

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1. When the SimCore model is simply fine-tuned on each target, it outperforms others.
2. SimCore can synergize with both frameworks, serving as an effective initialization.



# Thank You!

Please check our paper and come to TUE-PM-326 :)

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